(10-96)This form is a Replica of PTO-S

k Office: U.S. DEPARTMENT OF COMMERCE Patent and Tri Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless a salid OMB control number

Substitute for form 1449A-PTO	COMPLETE IF KNOWN			
INFORMATION DISCLOSURE	Application Number	09/577,034		
	Filing Dat	06/23/2000		
ESTATEMENT BY APPLICANT	First Nam d Inventor	James K. Guenter		
(USE AS MANY SHEETS AS NECESSARY)	Group Art Unit	2881		
Sheet 1 01 2	Examiner Name	t.b.d.		
Sherey 1 01 1 2	Attorney Docket Number	M40 26373 US		

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines where relevant passages or relevant figures appear	
		Number	Kind Code ² (if known)			C2 FA	
					:	8 7 9	
_						072	
						Paga	
						700	
						3	

	FOREIGN PATENT DOCUMENTS							
Examiner Cite Initials* No.1	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines where relevant passages or relevant figures appear	T ⁶	
	'	Office ³	Number ⁴	Kind Code⁵ (if known)				
HU		JP	01 244687		NEC Corp.	09-29-1989		
#v		JР	05 241048		Sumitomo Electric Ind. Ltd.	09-21-1993		
44		EP	0 455 939	A2. A3	Corning Inc.	11-13-1991	4	
1,0		JP ·	08 056049		Tokyo Inst. Of Technology	02-27-1996	,	
	,							

Examiner Signature	Date Considered	9/16/02
-----------------------	--------------------	---------

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

(1) Unique citation designation number. (2) See attached kinds of U.S. patent documents. (3) Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). (4) For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. (5) Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. (6) Applicant is to place a check mark here if English language translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time are required to complete this from should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC, 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC, 20231.

Approved for use 10-31-99. OMB 0651-0031

(10-96)This form is a Replica of PTO-S Under the Paperwork Reduction Act of 1995, no persons are

Patent and T

rk Office: U.S. DEPARTMENT OF COMMERCE and to a collection of information unless it displays a valid OMB control number

Substitute for form 1449B-PTO

INFORMATION DISCLOSURE STATEMENT BY APPLIC

(USE AS MANY SHEETS AS NECESSARY)

Sheet	2	ŏ	2

COMPLETE IF KNOWN		
Application Number	09/577,034	
Filing Dat	06/23/2000	
First Nam d Invent r	James K. Guenter	
Group Art Unit	2881	
Examiner Name	t.b.d.	
Attorney Docket Number	M40 26373 US	

Examiner Initials*	Cite No.1	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and-or	T ²
	11.0/	country where published PCT International Search Report on related PCT application PCT/US 01/16390; mailed 04-11-2002.	
	Ho		
	40	JUNG-HOON SER, et al.; "Polarization stabilization of vertical-cavity top-surface-emitting lasers by inscription of fine metal-interlaced gratings"; magazine article from Applied Physics Letters ; American Institute of Physics; New York, U.S.A	
		p.r. claisse, ET AL.; "Automatic Power Control of a VCSEL Using an Angled Lid T056 Package"; published presentation from Electronic Components & Technology Conference; 1998; 48 th IEEE Seattle, WA	
		`	
		28 音 色、	
		87. 公	
		ECEIVED TO THE DEED	
		ROOM	
		3	
		· · · · · · · · · · · · · · · · · · ·	
	<u>-</u>		
Examir Signati		Date 09/16/02	

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

(1) Unique citation designation number. (2) Applicant is to place a check mark here if English language translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time are required to complete this from should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC, 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC, 20231.